IRA TRANSFER AUTHORIZATION

For transfers from Ally Invest to Ally Bank, return this form with any attached documents using one of these methods:

Online
Log in at ally.com and select Email, or log in on the Ally mobile app and select ✉. Attach the form to your message.

Mail
Ally Bank Retirement Services
P.O. Box 951
Horsham, PA 19044-9917

Fax
Subject Line: Retirement Services
Fax Number: 866-699-2969

Expedited Delivery
Ally Bank Retirement Services
1100 Virginia Drive, Suite 150
Fort Washington, PA 19034-3276

For transfers from Ally Bank to Ally Invest, return this form with any attached documents using one of these methods:

Online
Log in at ally.com and select Investments, then choose Document Upload.

Mail
Ally Invest
PO Box 30248
Charlotte, NC 28230

Fax
Subject Line: Operations
Fax Number: 866-699-0563

Expedited Delivery
Ally Invest
601 S Tyron St
Charlotte, NC 28202

Complete and submit a separate form for each account having funds transferred. All funds sent via wire.
Transferring funds in an IRA account may take up to 10 business days.

IRA Owner

Your name exactly as it appears in your account statement. For IRAs, state type, for example "Jane Smith – Roth IRA"

FIRST NAME ______ M.I. ______ LAST NAME / SUFFIX ______ SOCIAL SECURITY ______ DATE OF BIRTH ______

EMAIL ADDRESS ______ PERSONAL PHONE ______ WORK PHONE ______

If inherited IRA funds or if funds are coming from a decedent's IRA:

NAME OF ORIGINAL OWNER ______ SOCIAL SECURITY ______ DATE OF BIRTH ______

RELATIONSHIP ______ DATE OF DEATH ______

Transfer Instructions

Account Type – must match between Ally Bank and Ally Invest (SELECT ONLY ONE):

◯ Traditional IRA ◯ Inherited IRA ◯ Rollover IRA
◯ Roth IRA ◯ Inherited Roth IRA ◯ Simple IRA
◯ SEP IRA

Transfer from (SELECT ONLY ONE):

◯ Ally Bank - *for this option must include an Ally Bank Wire form*
1100 Virginia Drive, Suite 150
Fort Washington, PA 19304-3276

◯ Ally Invest
601 S Tyron St
Charlotte, NC 28202

ACCOUNT NUMBER
Account ______

Transfer to (SELECT ONLY ONE):

◯ Ally Bank
1100 Virginia Drive, Suite 150
Fort Washington, PA 19304-3276

◯ Ally Invest
601 S Tyron St
Charlotte, NC 28202

ACCOUNT NUMBER
Account ______

IRA TRANSFER AUTHORIZATION

Transfer Instructions (continued)

Transfer Amount (SELECT ONLY ONE):

◯ Full Account Balance

TRANSFER AMOUNT

◯ Partial Account Balance of exactly $ ______ (MUST PROVIDE EXACT AMOUNT, NOT "TOTAL" or "ALL")

Signatures

Ally Invest

Be advised that, if I am transferring a retirement account, I have amended my retirement plan and have adopted a new retirement plan with Apex Clearing Corp. as successor trustee. Pursuant to said amendment, please transfer all assets in my account to such successor trustee.

I understand that to the extent any assets in my account are not readily transferable, with or without penalties; such assets may not be transferred within the time frames required by New York Stock Exchange Rule 412 or similar rule of the Financial Industry Regulatory Authority (FINRA) or other designated examining authority. Unless otherwise indicated, I authorize you to liquidate any non-transferable proprietary money market fund assets that are part of my account, deduct any outstanding fees due you, and transfer the resulting credit balance to Apex Clearing on behalf of Ally Invest or to the successor trustee. I understand that if I choose a method of disposition of such assets other than liquidation and transfer, I may become liable for payment of taxes and penalties with respect to such assets. I also understand that the transferring firm will contact me with respect to the disposition of any other assets in my account that are not transferable. I authorize you to deduct any outstanding fees due you from the credit balance in my account. If my account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees due you, I authorize you to liquidate the assets in my account to the extent necessary to satisfy any outstanding fees due you. If certificates or other instruments in my account are in your physical possession, I instruct you to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable the successor trustee to transfer them in its name for the purpose of sale, when and as directed by me. Upon receiving a copy of this transfer instruction, the carrying organization will cancel all open orders for my account on its books.

Ally Bank

I certify that, to the best of my knowledge, the information provided on this form is true and correct and may be relied on by the IRA Custodian. I understand that this transaction may be subject to fees, taxes, and/ or penalties. Due to the important tax consequences of this transaction, I agree to seek the advice of a legal or tax professional, as needed. The IRA Custodian has not provided me with any legal or tax advice, and I assume full responsibility for this transaction. I will not hold the IRA Custodian liable for any adverse consequences that may result from this transaction. Ally Bank as successor IRA Custodian agrees to accept the transferred assets and to deposit them into an IRA that meets the IRS requirements.

ACCOUNT OWNER'S SIGNATURE	DATE